

U.S. Particle Accelerator School January 25 – February 19, 2021



VUV and X-ray Free-Electron Lasers

Introduction to FEL Simulations

Petr Anisimov,² Nicole Neveu¹, Dinh C. Nguyen¹

¹ SLAC National Accelerator Laboratory

² Los Alamos National Laboratory









Wednesday (Jan 27) Lecture Outline

- Canonical formulation of 1D FEL theory
- Q&A
- Numerical simulator for 1D FEL
- Q&A
- Numerical simulator ZFEL code
- Q&A





Canonical formulation of 1D FEL theory





Lagrangian description

• Lagrangian for a relativistic electron in an electromagnetic field:1,2

$$L(\mathbf{r}, \dot{\mathbf{r}}; t) = -m_e c^2 \sqrt{1 - \frac{\dot{\mathbf{r}}^2}{c^2}} + e\phi(\mathbf{r}) - e\dot{\mathbf{r}} \cdot \mathbf{A}(\mathbf{r})$$

Lagrangian density for classical electrodynamics:^{1,3}

$$\mathcal{L} = -\frac{1}{4\mu_0} F^{\alpha\beta} F_{\alpha\beta} - A_{\alpha} J^{\alpha},$$

where,
$$F^{\alpha\beta}F_{\alpha\beta} = 2(\partial_{\alpha}A_{\beta}\partial^{\alpha}A^{\beta} - \partial_{\beta}A_{\alpha}\partial^{\alpha}A^{\beta})$$
, $A_{\alpha} = \left(\frac{\phi(r)}{c}, -A(r)\right)$ and $J^{\alpha} = (c\rho(r), j(r))$;

• The Hamiltonian principle: $\delta S = \delta \int_{t_1}^{t_2} L(\boldsymbol{r}, \dot{\boldsymbol{r}}; t) dt = 0$.

¹ L. D. Landau and E. M. Lifshitz, *The classical theory of fields*; 3rd ed., ser. Course of theoretical physics. Oxford: Pergamon, 1971, Eq. (28.6) [Conversion of Gaussian to SI units is discussed at https://en.wikipedia.org/wiki/Gaussian_units#Major_differences_between_Gaussian_and_SI_units]

² https://en.wikipedia.org/wiki/Covariant_formulation_of_classical_electromagnetism





FEL in Lagrangian description

• An ensemble of electrons creates charge density $\rho(r) = -e \sum_{n=1}^{N_e} \delta(r - r_n(t))$ and the current density $j(r) = -e \sum_{n=1}^{N_e} \dot{r}_n(t) \delta(r - r_n(t))$ resulting in

$$L_{int} = -\int A_{\alpha}J^{\alpha}d^{3}\boldsymbol{r} = e\sum_{n=1}^{N_{e}} [\phi(\boldsymbol{r}_{n}) - \dot{\boldsymbol{r}}_{n} \cdot \boldsymbol{A}(\boldsymbol{r}_{n})],$$

which is the same term as in the Lagrangian for a relativistic electron in an electromagnetic field but summed over all the particles;

• There are no stationary charges therefore $\phi(\mathbf{r}) = 0$ will be assumed resulting in Coulomb and Lorentz gauges¹ becoming $\nabla \cdot \mathbf{A} = 0$.

¹ https://en.wikipedia.org/wiki/Gauge_fixing

² For more info on the Electromagnetic tensor $F^{\alpha\beta}$ go to https://en.wikipedia.org/wiki/Electromagnetic tensor





Assumptions for 1D FEL

• Consider a helical undulator with a period λ_u that is described by

$$A_u(z) = \frac{m_e c}{\sqrt{2}e} K \hat{\epsilon} e^{-ik_u z} + c.c.,$$

where $\hat{\epsilon} = (\hat{x} + i\hat{y})/\sqrt{2}$ is the polarization vector, $k_u = 2\pi/\lambda_u$ is the undulator wavenumber and $K = 0.934 \ B_0[T]\lambda_u[cm]$ is the undulator parameter;

• Further consider a plane-wave radiation field of wavelength λ :

$$A_r(z,t) = -\frac{i}{\sqrt{2}k}E(t)\hat{\epsilon}e^{ikz-i\omega t} + c.c.,$$

where the phase conversion is such that $A_{\mu} \cdot A_r \neq 0$.

• Since the Lagrangian does not depend on x or y variables then the canonical momenta $\frac{\partial L}{\partial \dot{r}_{\perp}} \stackrel{\text{def}}{=} p_{\perp} = const$ and could be set to zero.





1D FEL in Lagrangian description

 The undulator vector potential is externally created and does not have to be included in the Lagrangian of the system. The Lagrangian of the radiation field confined to some volume V is

$$L = \int \mathcal{L}d\mathbf{r} = -\frac{1}{2\mu_0} \left(B_r^2 - \frac{E_r^2}{c^2} \right) V \approx \frac{V}{\mu_0} \frac{iE^*}{\omega} \dot{E},$$

which results in the canonical momentum for the electromagnetic field to be

$$p_E \stackrel{\text{def}}{=} \frac{dL}{d\dot{E}} = \frac{V}{\mu_0} \frac{iE^*}{\omega};$$

• The Hamiltonian principle can now be rewritten as

$$\delta \left(\int_{t_1}^{t_2} p_E dE + \sum_{n=1}^{N_e} p_{Z,n} dZ_n - H_n dt \right) = 0.$$





1D FEL in Hamiltonian description

The Hamiltonian for a single electron becomes

$$H_n \approx c \sqrt{m^2c^2 + p_{z,n}^2 + 2\frac{e m_e K}{k}} Im[E(t)e^{i\theta_n}],$$

where $\theta_n=(k+k_u)z_n-\omega t$ is the ponderomotive phase; $m^2=m_e^2(1+K^2)$ is a longitudinal mass of an 'undulator' electron and we have neglected $\sim |E(t)|^2$ term;

- We will replace the canonical variable z_n with θ_n in the Hamiltonian principle such that $dz_n = (d\theta_n + \omega dt)/(k + k_u)$;
- We will define the efficiency of an FEL interaction $\rho = \frac{E_{sat}^2 V}{\mu_0}/\gamma_0 m_e c^2 N_e$ as the ratio of the EM energy at saturation to the beam energy and rescale the field amplitude to its value at saturation $dE = E_{sat} dA$.





1D FEL in Hamiltonian description cont'd

• The Hamiltonian principle in the FEL theory notations becomes

$$\delta \left(\int_{\tau_1}^{\tau_2} p_A dA + \sum_{n=1}^{N_e} p_{\theta,n} d\theta_n - \widetilde{H}_n d\tau \right) = 0$$

in terms of scaled time $dt=\frac{d\tau}{2\rho k_uc}$, new canonical momenta $p_{\theta,n}=\frac{p_{z,n}}{k+k_u}$ and $p_A=i\,\frac{\rho\gamma_0m_ec}{k}\,N_eA^*$, and a new Hamiltonian for nth electron

$$\widetilde{H}_{n} = \frac{1}{2k_{u}\rho} \left(\sqrt{(k+k_{u})^{2}p_{\theta,n}^{2} + m^{2}c^{2}(1+\mathcal{V})} - k p_{\theta,n} \right),$$

where a scaled ponderomotive potential has been introduced

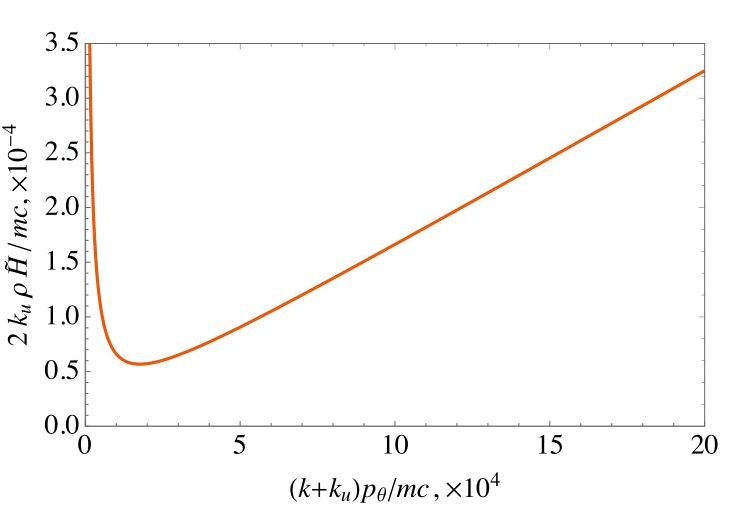
$$\mathcal{V} = \frac{2K}{1 + K^2} \frac{\omega_{pe}}{\omega} \sqrt{\rho \gamma_0} Im[A(\tau)e^{i\theta_n}],$$

in terms of the beam plasma frequency $\omega_{pe}^2 = \frac{e^2}{m_e \varepsilon_0} \frac{N}{V}$.





FEL Hamiltonian



 In the absence of the ponderomotive potential created by the radiation the Hamiltonian of nth electron becomes:

$$\widetilde{H} = \frac{mc}{2k_u\rho} \left(\sqrt{1 + p^2} - v_{BR}p \right),$$

where a new momentum $p=\frac{(k+k_u)p_\theta}{mc}$ and the Bambini-Renieri velocity in units of speed of light $v_{BR}=\frac{k}{k+k_u}$ have been introduced;

• We here illustrate the case of $\lambda = 0.3~A$ radiation in $\lambda_u = 1.86~cm$ undulator.





Ponderomotive Potential

• The scaled ponderomotive potential $\mathcal{V}=\frac{2K}{1+K^2}\frac{\omega_{pe}}{\omega}\sqrt{\rho\gamma_0}Im\big[A(\tau)e^{i\theta_n}\big]\ll 1$ can be treated as a perturbation to the Hamiltonian evolution:

$$\frac{dp_{\theta}}{d\tau} = -\frac{\partial \widetilde{H}}{\partial \theta} = 0$$

$$\frac{d\theta}{d\tau} = \frac{\partial \widetilde{H}}{\partial p_{\theta}} = \frac{k + k_{u}}{2k_{u}\rho} \left(\frac{p}{\sqrt{1 + p^{2}}} - v_{BR}\right)$$

where the canonical momentum is conserved and the phase is linearly increasing;

• Efficient interaction with the Ponderomotive potential requires $\langle \mathcal{V} \rangle_{\theta} \neq 0$, which could be achieved with $\frac{d\theta}{d\tau} = 0 = \frac{\partial \widetilde{H}}{\partial p_{\theta}}$ condition that corresponds to the minimum of \widetilde{H} !





The resonant energy and the FEL parameter

- The equilibrium momentum that minimizes the Hamiltonian and maximizes the ponderomotive interaction can be expressed as $p_{\theta}^{eq} = \frac{\gamma_{eq} m \, \dot{z}_{eq}}{k + k_u}$ with an equilibrium Lorentz factor γ_{eq} corresponding to the velocity $\dot{z}_{eq} = c \, v_{BR}$;
- This implies that the resonant energy for an electron is $\gamma_r^2 \approx (1+K^2)k/2k_u$ in accordance with the classical X-ray FEL theory!
- The final Hamiltonian thus becomes

$$H_n = H_0 + \frac{p_n^2}{2M} + 2M \, Im[A(\tau)e^{i\theta_n}],$$

where $H_0=mc^2/2k_uc\rho\gamma_{eq}$, p_n is the detuning for the equilibrium momentum, and $M=\rho p_{\theta}^{eq}$ if one chooses the FEL parameter to be $\rho=\frac{1}{\nu_r}\left(\frac{K\omega_{pe}}{4ck_u}\right)^{\frac{2}{3}}$!





1D FEL equations

$$\frac{dA}{d\tau} = \frac{\partial}{\partial p_A} \sum_{n=1}^{N_e} H_n \approx \frac{1}{N_e} \sum_{n=1}^{N_e} e^{-i\theta_n}$$

$$\frac{d\theta_n}{d\tau} = \frac{\partial H_n}{\partial p_n} = \frac{p_n}{M}$$

$$\frac{dp_n}{d\tau} = -\frac{\partial H_n}{\partial \theta_n} = -2M \operatorname{Re}[A(\tau)e^{i\theta_n}]$$

One can introduce a scaled energy detuning $\eta_n = \frac{p_n}{M} = \frac{\gamma_n - \gamma_r}{\rho \gamma_r}$ in order to recover the Eqs. 4.31a, 4.31b, 4.31c and 4.31d of the book.





Additional reading on The FEL Hamiltonian

- S. D. Webb, "Period-Averaged Symplectic Maps for the FEL Hamiltonian" 38th International Free Electron Conference, 2017;
- P. M. Anisimov, "Canonical Formulation of 1D FEL Theory Revisited, Quantized and Applied to Electron Evolution", 38th International Free Electron Conference, 2017;
- P. M. Anisimov, "Quantum theory for 1D X-ray Free Electron Laser", Journal of Modern Optics, 65(11), pp 1370-1377, 2018.





Numerical simulator for 1D FEL





1D FEL equations in Python

$$\frac{dA}{d\tau} = \frac{1}{N_e} \sum_{n=1}^{N_e} e^{-i\theta_n}$$

$$\frac{d\theta_n}{d\tau} = \eta_n$$

$$\frac{d\eta_n}{d\tau} = -2 \, Re \big[A(\tau) e^{i\theta_n} \big]$$

```
from scipy.integrate import solve ivp
import numpy as np
import matplotlib.pyplot as plt
def rhs(t, y):
    The right-hand side of the 1D canonical FEL equations;
    t - the current time;
    y - array of [A, theta, eta]
    n = len(y)//2
   A = y[0]
    theta = y[1:n+1]
    eta = y[n+1:]
    dA dt = np.mean(np.exp(-1j*theta))
    dtheta dt = eta
    deta dt = -2*np.real(A*np.exp(1j*theta))
    return np.concatenate(([dA dt],
                           dtheta dt,
                           deta dt))
sol = solve_ivp(rhs, [0, 4*np.pi],
                np.concatenate(([A0+0j], theta0, eta0)),
                max step=0.1)
```





What are the initial conditions?

- $A(0) = A_0$ corresponds to a seeded FEL and A(0) = 0 corresponds to SASE FEL;
- $\theta_n=0$ gives bunching $b=\frac{1}{N_e}\sum_{n=1}^{N_e}e^{-i\theta_n}=1$;
- A random uniform distribution $\theta_n \in [-\pi, \pi)$ has bunching $b = \frac{1}{N_e} \sum_{n=1}^{N_e} e^{-i\theta_n} = 0$ but $\langle |b|^2 \rangle_{\theta} = 1/N_e$, which is sufficient to initiate FEL instability;
- $\theta_n = x_n + \delta\theta \sin(x_n)$ such that $\langle |b|^2 \rangle = 0$ when $\delta\theta = 0$ a so called 'quiet start'.
- What other initial conditions can you think of?





Case 1

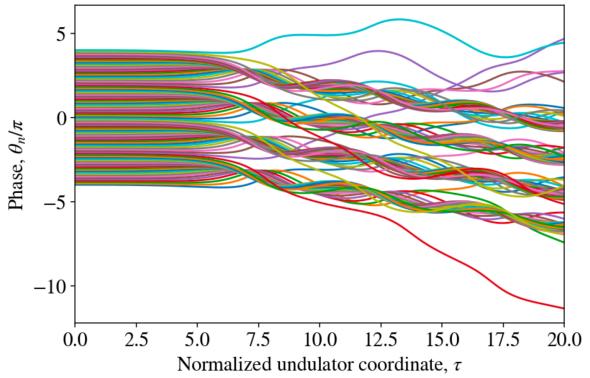
```
ne = 100
 tau = 20
A0 = 0
 theta0 = np.linspace(-4*np.pi, 4*np.pi, ne)
 p0 = np.zeros(ne)
 sol = solve_ivp(rhs, [0, tau], np.concatenate(([A0+0j], theta0, p0)), max_step=0.1)
 plot(sol)
     10^{0}
                                                                                                                                                0.00
Normalized power (log scale), |A|<sup>2</sup>
                                                                    Normalized power (lin scale), IAl<sup>2</sup>
   10^{-2}
                                                                              Energy detuning, \eta_n
    10^{-4}
    10^{-6}
                                                                                 -3
                                                                                                                                                -1.25
                                                                +0.0
20
    10^{-8}
                                                    15
                                     10
                                                                                                                                             20
                                                                                                                 10
                                                                                                                               15
                    Normalized undulator coordinate, \tau
                                                                                               Normalized undulator coordinate, \tau
```





Case 1

```
ne = 100
tau = 20
A0 = 0
theta0 = np.linspace(-4*np.pi, 4*np.pi, ne)
p0 = np.zeros(ne)
sol = solve_ivp(rhs, [0, tau], np.concatenate(([A0+0j], theta0, p0)), max_step=0.1)
plot(sol)
```







Case 2 – "quiet start"

```
ne = 100
tau = 20
A0 = 0
theta0 = np.linspace(-4*np.pi, 4*np.pi, ne, endpoint=False)
p0 = np.zeros(ne)
sol = solve ivp(rhs, [0, tau], np.concatenate(([A0+0j], theta0, p0)), max step=0.1)
plot(sol)
        1e - 11
                                                  1e - 21
                                                         0.00
    7.5
                                                          -0.25 -0.50 -0.75 -0.75 -1.00 -1.25 -1.25 -1.25
    5.0
Energy detuning, \eta_n
    2.5
    0.0
                                                         -1.00
   -2.5
                                                                      -2
   -5.0
                                                         -1.25
   -7.5
                                                         -1.50
                                                                                2.5
                                                                                       5.0
                                                                                              7.5
                                                                                                            12.5
                                                                                                                           17.5
                               10
                                           15
                                                                        0.0
                                                                                                     10.0
                                                                                                                    15.0
                                                                                                                                  20.0
                                                       20
                                                                                      Normalized undulator coordinate, \tau
               Normalized undulator coordinate, \tau
```





Case 3 – "seeded operation"

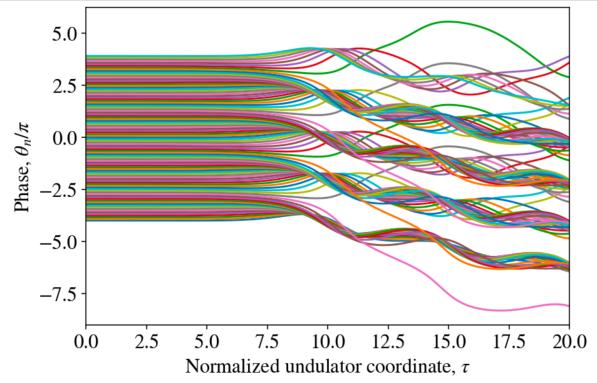
```
ne = 100
     tau = 20
     A0 = 1e-3
     theta0 = np.linspace(-4*np.pi, 4*np.pi, ne, endpoint=False)
     p0 = np.zeros(ne)
      sol = solve_ivp(rhs, [0, tau], np.concatenate(([A0+0j], theta0, p0)), max_step=0.1)
     plot(sol)
                            10^{0}
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           0.00
Normalized power (log scale), |A|<sup>2</sup>
                                                                                                                                                                                                                                                                                                                                                                            1.2 0.1 - 0.2 - 0.2 - 0.2 - 0.2 - 0.3 - 0.4 - 0.2 - 0.5 - 0.4 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.5 - 0.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               -0.25 số
quin
-0.50 p
                   10^{-2}
                                                                                                                                                                                                                                                                                                                                                                                                                          Energy detuning, \eta_n
                                                                                                                                                                                                                                                                                                                                                                                                                                               -3
                                                                                                                                                                                                                                                                                                                                                        +0.0
20
                      10^{-8}
                                                                                                                                                                                                                                                                                     15
                                                                                                                                                                                                         10
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               20
                                                                                                          Normalized undulator coordinate, \tau
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       Normalized undulator coordinate, \tau
```





Case 3 – "seeded operation"

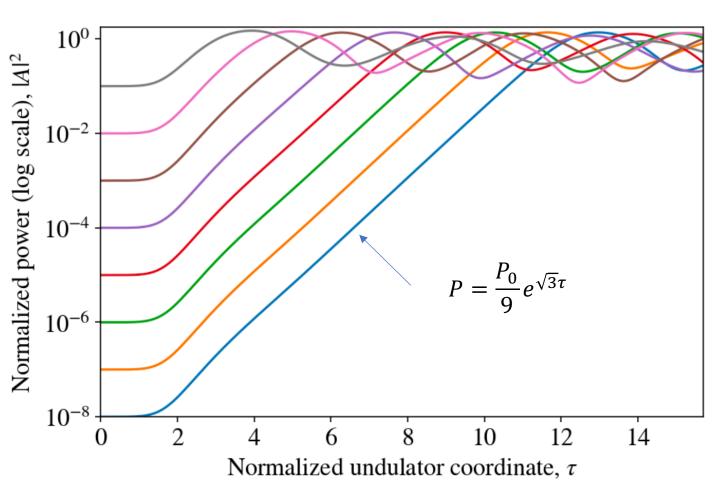
```
ne = 100
tau = 20
A0 = 1e-3
theta0 = np.linspace(-4*np.pi, 4*np.pi, ne, endpoint=False)
p0 = np.zeros(ne)
sol = solve_ivp(rhs, [0, tau], np.concatenate(([A0+0j], theta0, p0)), max_step=0.1)
plot(sol)
```







Case 3 – "seeded operation" scan



Observe:

- Lethargy period;
- Exponential growth;
- Saturated power;
- Power circulation.

Compare:

$$P = \frac{P_0}{9} \left| e^{\frac{i+\sqrt{3}}{2}\tau} + e^{\frac{i-\sqrt{3}}{2}\tau} + e^{-i\tau} \right|^2$$

Invariant of the evolution:

$$\eta(\tau) + |A(\tau)|^2 = const$$





Case 4 – "SASE operation"

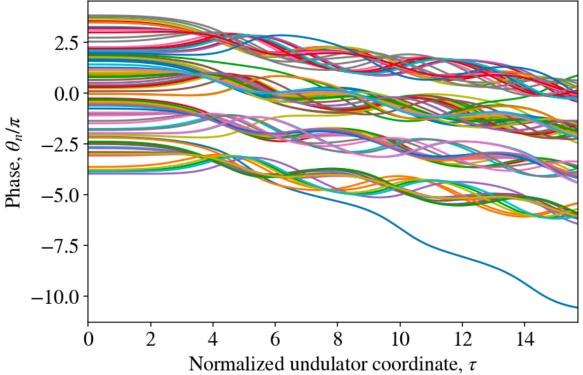
```
ne = 100
    tau = 5*np.pi
    A0 = 0
    theta0 = np.random.uniform(low=-4*np.pi, high=4*np.pi, size=ne)
     p0 = np.zeros(ne)
     sol = solve ivp(rhs, [0, tau], np.concatenate(([A0+0j], theta0, p0)), max step=0.1)
    plot(sol)
                          10^{0}
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                0.00
Normalized power (log scale), IAl<sup>2</sup>
                                                                                                                                                                                                                                                                                                                                         5.0 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 0.1 - 
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     -0.25
                   10^{-2} -
                                                                                                                                                                                                                                                                                                                                                                                    Energy detuning, \eta_n
                   10^{-4}
                     10^{-6}
                                                                                                                                                                                                                                                                                                                                                                                                    -3
                     10
                                                                                                                                                                                                                                                                                                               15.0
                                         0.0
                                                                                     2.5
                                                                                                                                 5.0
                                                                                                                                                                            7.5
                                                                                                                                                                                                                       10.0
                                                                                                                                                                                                                                                                   12.5
                                                                                                                                                                                                                                                                                                                                                                                                                  0.0
                                                                                                                                                                                                                                                                                                                                                                                                                                                             2.5
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         5.0
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     7.5
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               10.0
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         12.5
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    15.0
                                                                                               Normalized undulator coordinate, \tau
                                                                                                                                                                                                                                                                                                                                                                                                                                                                      Normalized undulator coordinate, \tau
```





Case 4 – "SASE operation"

```
ne = 100
tau = 5*np.pi
A0 = 0
theta0 = np.random.uniform(low=-4*np.pi, high=4*np.pi, size=ne)
p0 = np.zeros(ne)
sol = solve_ivp(rhs, [0, tau], np.concatenate(([A0+0j], theta0, p0)), max_step=0.1)
plot(sol)
```







Case 4 – "SASE operation" scan

```
ne = 100
 tau = 5*np.pi
 A0 = 0
 theta0 = np.random.uniform(low=-4*np.pi, high=4*np.pi, size=ne)
 p0 = np.zeros(ne)
 sol = solve ivp(rhs, [0, tau], np.concatenate(([A0+0j], theta0, p0)), max step=0.1)
 plot(sol)
                                                                          10^{0}
                                                                      Normalized power (\log scale), |A|^2
Normalized power (lin scale), IA12
   1.5
                                                                          10^{-2}
    1.0
   0.5
                                                                          10^{-6}
                                                                         10^{-8}
                                                                  10
                                                                                                                                        10
                    Normalized undulator coordinate, \tau
                                                                                           Normalized undulator coordinate, \tau
```





Case 5 — "Energy spread driven FEL" scan

```
ne = 100
tau = 5*np.pi
A0 = 0
theta0 = np.linspace(-4*np.pi, 4*np.pi, ne, endpoint=False)
p0 = np.random.normal(0, 0.1, ne)
sol = solve_ivp(rhs, [0, tau], np.concatenate(([A0+0j], theta0, p0)), max_step=0.1)
plot(sol)
                                                                          10^{0}
                                                                     Normalized power (\log scale), |A|^2
Normalized power (lin scale), IA12
   1.5
                                                                         10^{-2}
   1.0
                                                                         10^{-4}
   0.5
                                                                         10^{-6}
                                                                         10^{-8}
                                                                  10
                                                                                                                                       10
                    Normalized undulator coordinate, \tau
                                                                                          Normalized undulator coordinate, \tau
```





Case 6 – "controlled SASE"

```
ne = 100
 tau = 5*np.pi
 A0 = 0
 dtheta = 2/np.sqrt(100)
 theta0 = np.linspace(-4*np.pi, 4*np.pi, ne, endpoint=False)
 theta0 -= dtheta*np.sin(theta0)
 p0 = np.zeros(ne)
 sol = solve_ivp(rhs, [0, tau], np.concatenate(([A0+0j], theta0, p0)), max_step=0.1)
    10^{0}
                                                                             10^{0}
Normalized power (log scale), |A|^2
                                                                    Normalized power (lin scale), |A|<sup>2</sup>
                                                                                                                                             scale), |A|^2
                                                                                                                                          1.2
   10^{-2} -
                                                                            10^{-2}
                                                                0.8
                                                                                                                                         0.8
                                                                             10^{-4}
                                                                0.6
                                                                                                                                         0.6
                                                                                                                                             Normalized
                                                                                                                                         0.4
                                                                            10^{-6}
    10^{-6}
                    controlled
                                                                                               random
                                                                            10^{-8}
                                                                0.0
                                                                                                                                         0.0
                                                           15.0
                                                                                                                                   15.0
                2.5
                         5.0
                                 7.5
                                          10.0
                                                  12.5
                                                                                         2.5
                                                                                                  5.0
                                                                                                          7.5
                                                                                                                  10.0
                                                                                                                           12.5
                                                                                0.0
       0.0
                  Normalized undulator coordinate, \tau
                                                                                           Normalized undulator coordinate, \tau
```





Case 6 – "controlled SASE"

```
ne = 100
tau = 5*np.pi
A0 = 0
dtheta = 2/np.sqrt(100)
theta0 = np.linspace(-4*np.pi, 4*np.pi, ne, endpoint=False)
theta0 -= dtheta*np.sin(theta0)
p0 = np.zeros(ne)
sol = solve ivp(rhs, [0, tau], np.concatenate(([A0+0j], theta0, p0)), max step=0.1)
plot(sol)
```

- The choice of $\delta\theta=2/\sqrt{N_e}$ comes from the requirement to recover the correct Poisson statistics¹ such that $\left|\left\langle e^{-i\theta_n}\right\rangle\right| = \frac{1}{2}\delta\theta = 1/\sqrt{N_e}$;
- N_e is the number of interacting electrons such that $N_e = 4.3 \frac{L_g}{\lambda_u} \frac{I_b \lambda}{e v_b}$ and $\delta \theta =$ 1.38×10^{-3} corresponds to MaRIE XFEL case;
- We can also define an equivalent startup noise power $|A(0)|^2 = N_{\rho}^{-1}$.





Case 7 – SASE vs shot noise power

```
ne = 25
tau = 5*np.pi
A0 = 0
 dtheta = 1.38e-3
theta0 = np.linspace(-4*np.pi, 4*np.pi, ne, endpoint=False)
theta0 -= dtheta*np.sin(theta0)
p0 = np.zeros(ne)
 sol = solve_ivp(rhs, [0, tau], np.concatenate(([A0+0j], theta0, p0)), max_step=0.1)
    10^{0}
                                                                           10^{0}
Normalized power (\log scale), |A|^2
                                                              Normalized power (log scale), |A|<sup>2</sup>
   10^{-2}
                                                                          10^{-2}
                                                                                                                                     1.0
                                                              0.8
                                                                                                                                     0.8
                                                                          10^{-4}
                                                              0.6
                                                                                                                                     0.6
                                                                                                                                     0.4
   10^{-6} ¬
                                                                                        \delta\theta(0) = 0.0
                                                                          10^{-6}
             \delta\theta(0) = 0.00138
                                                                                                0.00138
                 A(0) = 0.0
                                                                                     A(0) =
                                                                          10^{-8}
                                                                                                                                     0.0
                2.5
                        5.0
                                7.5
                                        10.0
                                                 12.5
                                                         15.0
                                                                                                                                15.0
       0.0
                                                                                      2.5
                                                                                               5.0
                                                                                                       7.5
                                                                                                               10.0
                                                                                                                       12.5
                                                                              0.0
                  Normalized undulator coordinate, \tau
                                                                                        Normalized undulator coordinate, \tau
```





Case 7 – SASE vs shot noise power

```
ne = 25
 tau = 5*np.pi
 A0 = 0
 dtheta = 1.38e-3
 theta0 = np.linspace(-4*np.pi, 4*np.pi, ne, endpoint=False)
 theta0 -= dtheta*np.sin(theta0)
 p0 = np.zeros(ne)
 sol = solve_ivp(rhs, [0, tau], np.concatenate(([A0+0j], theta0, p0)), max_step=0.1)
    10^{0}
                 SASE
Normalized power (log scale), |A|<sup>2</sup>
                 shot-noise
    10^{-4}
    10^{-6}
   10^{-8}
                                                    10
                    Normalized undulator coordinate, \tau
```

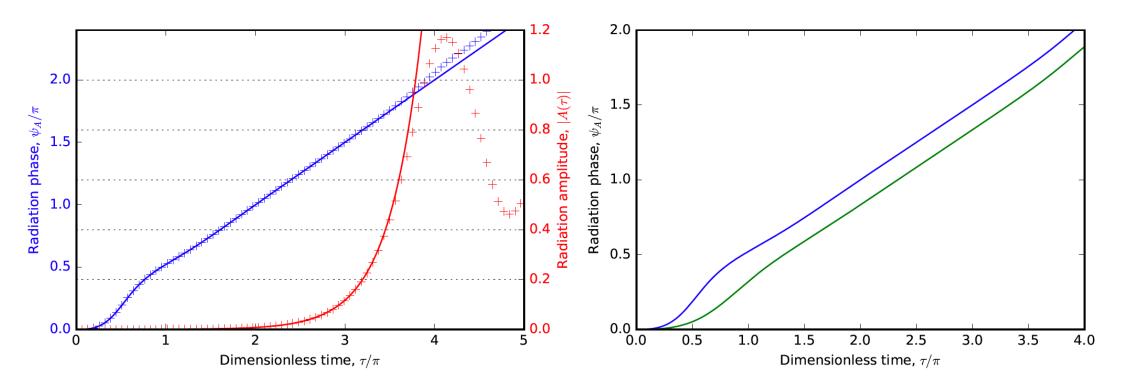
Observe:

- SASE vs shot noise power distinction happens during the lethargy period;
- The number of macro particles used for the simulation does not depend on the number of interacting electrons $n_e \ll N_e!$





Case 7 – SASE vs shot noise power



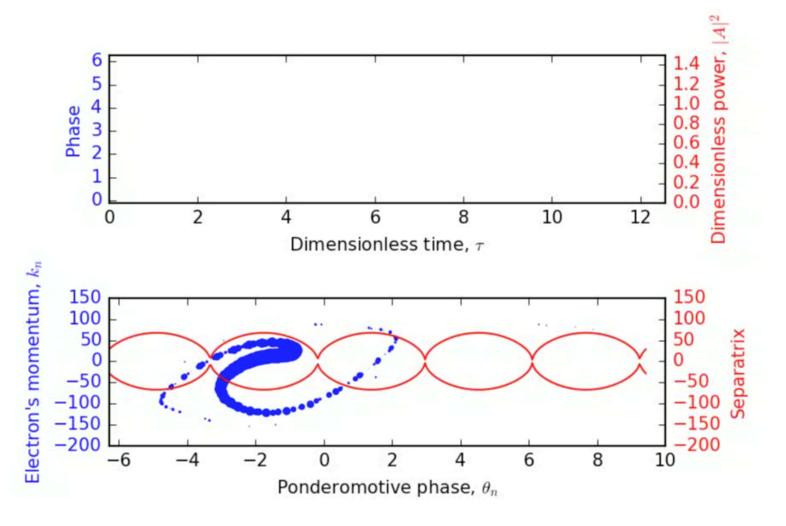
Numerical simulation vs Analytical solution

Phase in SASE case (green) vs Seeded case (blue)





Case 7 – A typical animation







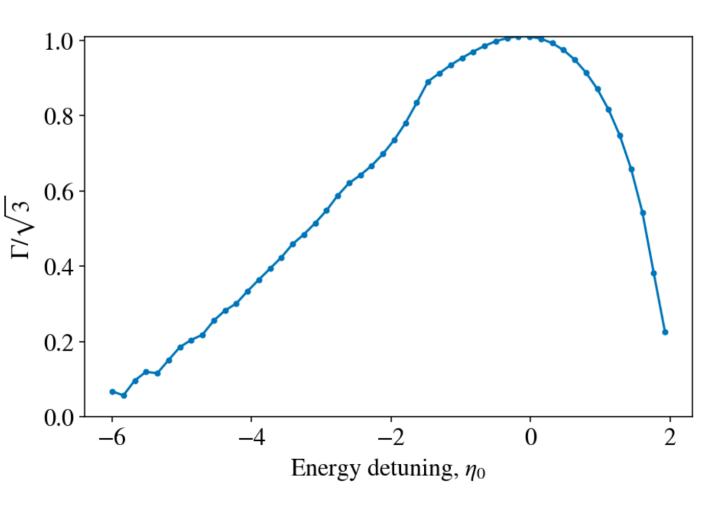
Case 8 – resonance curve

```
ne = 25
tau = 10*np.pi
A0 = 1.38e - 3/2
dtheta = 0
theta0 = np.linspace(-4*np.pi, 4*np.pi, ne, endpoint=False)
theta0 -= dtheta*np.sin(theta0)
res = []
eta = np.linspace(-2,2.3)
for eta0 in eta:
                                                                  Something is WRONG here?!
    p0 = eta0*np.ones(ne)
    sol = solve ivp(rhs, [0, tau],
                     np.concatenate(([A0+0j], theta0, p0
                    max step=0.1)
                                                          Peak power,
    res.append(np.max(np.abs(sol.y[0])**2))
plt.plot(eta, res, 'o-')
plt.xlabel(r'Energy detuning, $\eta 0$')
plt.ylabel(r'Peak power, $max(|A|^2)$')
plt.xlim([-2, 2.3])
plt.ylim([0, 4])
plt.show()
                                                                                   Energy detuning, \eta_0
```





Case 9 – correct resonance curve (HW)



Observe:

- Maximum gain of $\sqrt{3}$ is reached at zero energy detuning;
- The resonance curve is asymmetric;
- The lower energy case keeps lasing longer!





Numerical simulator – ZFEL code

https://github.com/slaclab/zfel.git





ZFEL package structure

- sase1d_input_part.sase performs 1D FEL simulation
 - .sase.params_calc performs FEL normalization
 - .general_load_bucket.general_load_bucket performs (θ_n , η_n) distribution generation
 - .sase.FEL_process performs dimensionless FEL calculations
 - .sase.final_calc converts dimensionless results back to physical units

• Output:

• z, power_z, s, power_s, rho, detune, field, field_s, gainLength, resWavelength, thet_out, eta_out, bunching, spectrum, freq, Ns, history





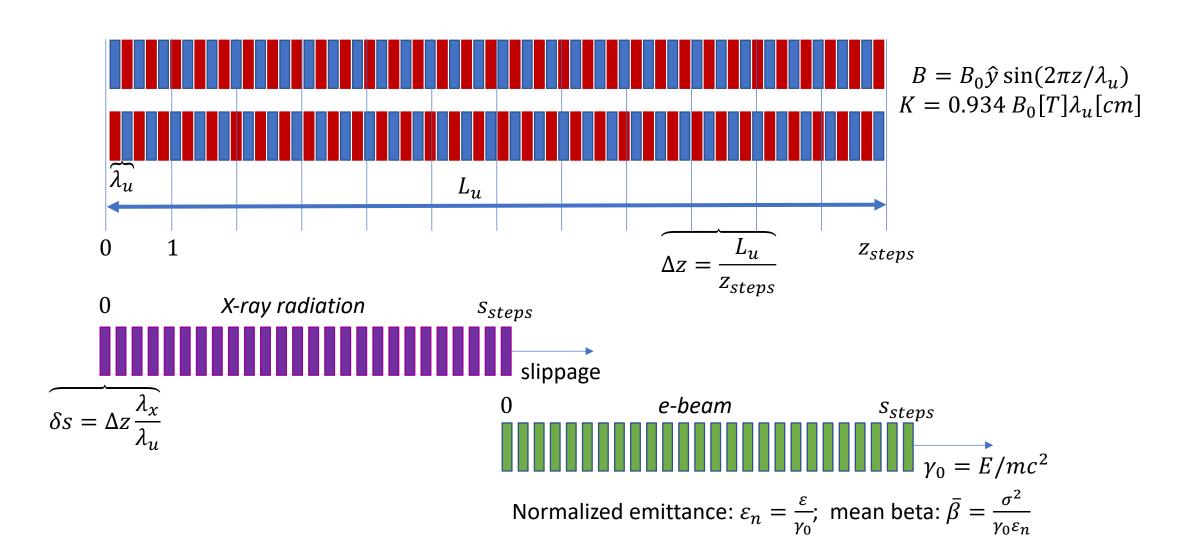
SASE 1D FEL run function: Input

Electron beam description		X-ra	X-ray radiation description	
energy	electron energy [eV]	s_steps	n-sample points along bunch length	
eSpread emitN currentMax beta	relative rms energy spread [] normalized transverse emittance [m-rad] peak current [Ampere] mean beta [meter]	radWavelength iopt P0	seed wavelength? [meter], used only in single-freuqency runs 'sase' or 'seeded' small seed input power [W]	
Undulator description		Technical description		
z_steps	n-sample points along undulator	Nruns	not implemented yet	
unduPeriod	undulator period [meter]	npart	n-macro-particles per bucket	
unduK unduL	undulator parameter, array of K []	constseed	use constant random seed for reproducibility, 1 Yes, 0 No	
dEdz	length of undulator [meter] not implemented yet	particle_position	particle distro with positions in meter and eta	
acuz		hist_rule	different rules to select number of intervals to generate the histogram of eta value in a bucket	





Graphical representation

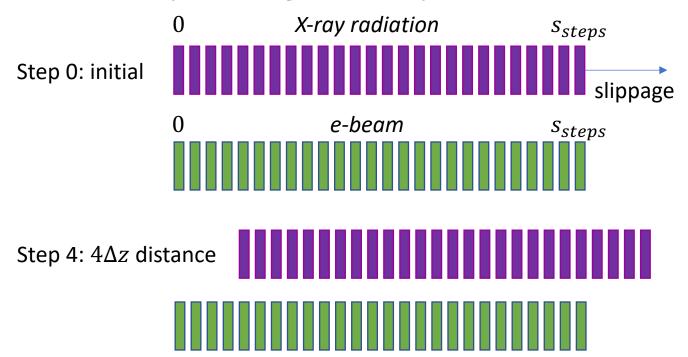


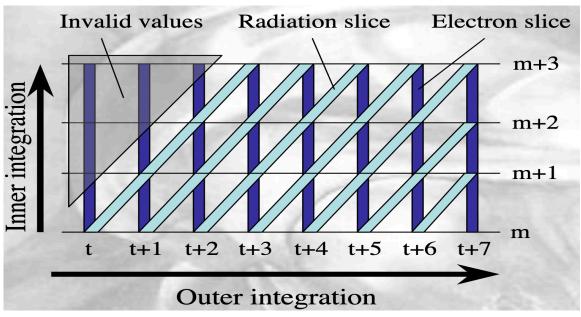




Slippage

- The FEL resonance condition $(k + k_u)z \omega_x t = const$ means that an x-ray radiation overtakes e-beam by $\delta s = \lambda_x$ every $\Delta z = \lambda_u$;
- Therefore, $\delta s = \Delta z \, \lambda_x / \lambda_u$ is the distance that the radiation overtakes the e-beam every Δz integration step.









ZFEL code setup

Undulator description

```
unduPeriod = 1.86e-2 # undulator period []
unduL = 3500*unduPeriod # undulator length [m]
z_steps = 100 # n-sample points along undulator; it also defines time step along bunch
unduK = np.full(z_steps, np.sqrt(2)*0.86) # undulator parametr
dEdz = None # not implemented
```

Electron beam description

```
currentMax = 3e3  # maximum current [A]
energy = 12e9  # electron energy [eV]
eSpread = 1.5e-4  # relative rms energy spread sigma_E/E
emitN = 0.2e-6  # normalized emittance [m-rad]
beta = 15  # mean beta function of the beam [m]
```





ZFEL code setup cont'd

X-ray radiation description

Technical description

```
Nruns = None # not implemented

npart = 2**9 # n-macro-particles per bucket

constseed = 1 # use constant random seed for reproducibility,

# 1 Yes, 0 No

particle_position = None # particle distro with positions in

# meter and eta

hist_rule = 'square-root' # 'square-root' or 'sturges' or

# 'rice-rule' or 'self-design',

# number of intervals to generate

# the histogram of eta value in a bucket
```





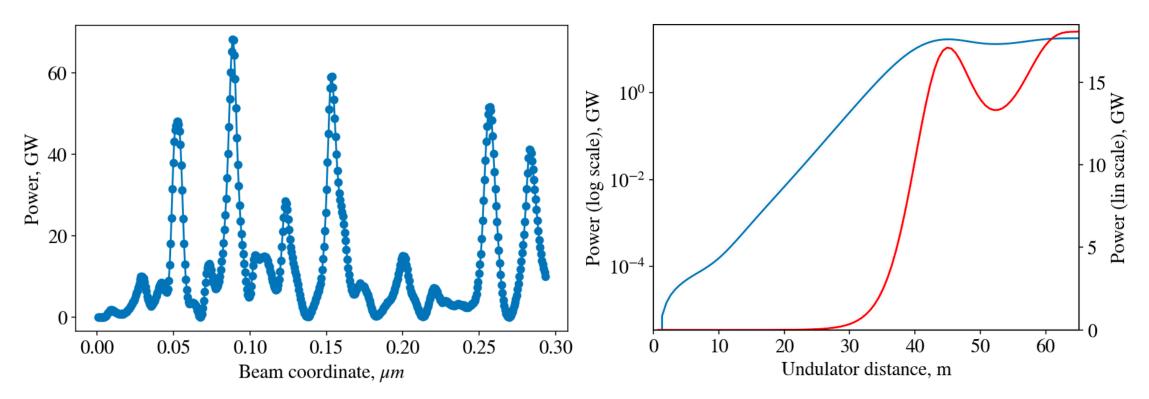
Execution

Execute





Results: x-ray power

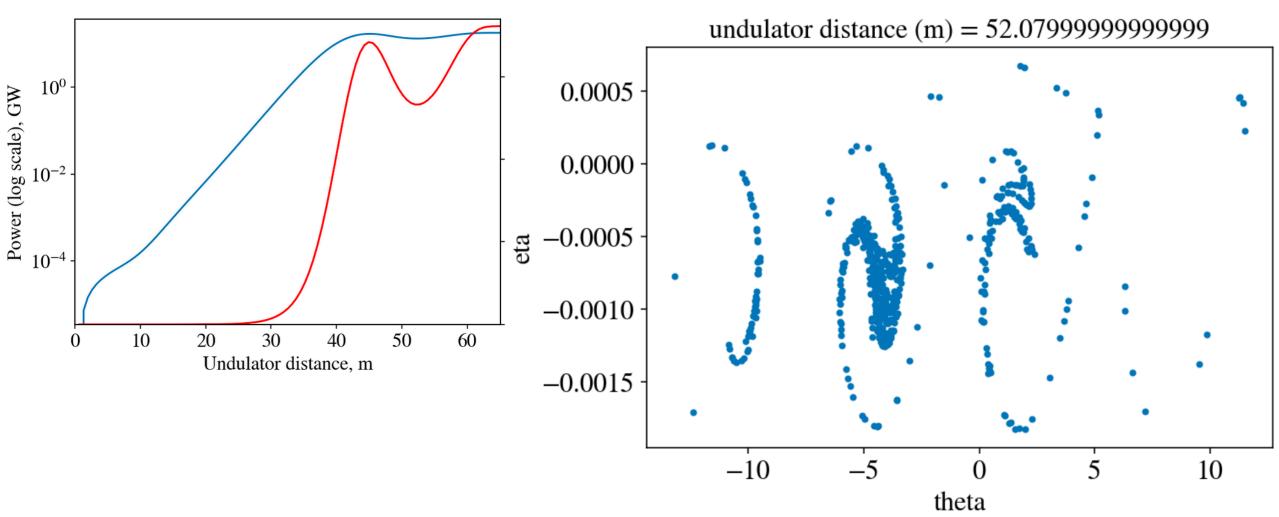


Simulated power at a MaRIE-like x-ray FEL in the 1D approximation. Strong SASE power fluctuations are present. Averaged power exhibits expected characteristics of initial lethargy, exponential growth and saturation.





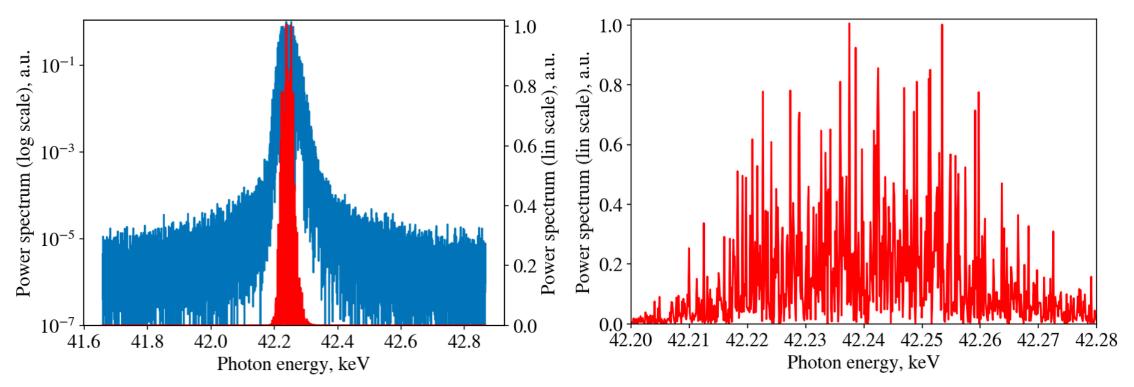
Results: phase space distribution







Results: spectral analysis



Simulated power spectrum at a MaRIE-like x-ray FEL in the 1D approximation. Strong SASE power fluctuations corresponding to the spectrum with a relative bandwidth of 4×10^{-4} . The contrast of the resonant radiation vs incoherent background is about 10^{-4} .





Results: Transverse dynamics?

